

Derivation of Gaussian Beam under 2D space and 3D space

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Conditions

Under paraxial condition, the solution is taken as $\psi = u(x, y, z)e^{-ikz}$, where $u(x, y, z)$ is a slowly varying function of z .

$$\left| \frac{\partial u}{\partial z} \right| \ll |ku|, \quad \left| \frac{\partial^2 u}{\partial z^2} \right| \ll \left| k \frac{\partial u}{\partial z} \right| \ll |k^2 u|$$

$$\frac{\partial \psi}{\partial z} = \frac{\partial u}{\partial z} e^{-ikz} + (-ik)u e^{-ikz}$$

$$\frac{\partial^2 \psi}{\partial z^2} = \frac{\partial^2 u}{\partial z^2} e^{-ikz} + 2(-ik) \frac{\partial u}{\partial z} e^{-ikz} + (-k^2)u e^{-ikz}$$

Helmholtz equation: $\nabla^2 \psi + k^2 \psi = \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} + \frac{\partial^2 \psi}{\partial z^2} + k^2 \psi = 0$

$$\rightarrow \frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + (-2ik) \frac{\partial u}{\partial z} = 0$$

Conditions

$$\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + (-2ik) \frac{\partial u}{\partial z} = 0$$

• Two dimension:

1. $\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \frac{\partial^2}{\partial x^2}$

2. Use Cartesian coordinate system

$$\rightarrow \frac{\partial^2 u}{\partial x^2} - 2ik \frac{\partial u}{\partial z} = 0$$

• Three dimension:

1. $\frac{\partial^2}{\partial x^2} + \frac{\partial^2}{\partial y^2} = \nabla_T^2 = \nabla^2 - \frac{\partial^2}{\partial z^2}$

2. Use Cylindrical coordinate system

$$\rightarrow \nabla_T^2 u - 2ik \frac{\partial u}{\partial z} = 0$$

$$\rightarrow \frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial}{\partial \rho} \right) u + \frac{1}{\rho^2} \frac{\partial^2}{\partial \theta^2} u - 2ik \frac{\partial u}{\partial z} = 0$$

3D Calculation Process

$$\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial}{\partial \rho} \right) u - 2ik \frac{\partial u}{\partial z} = 0$$

In cylindrical coordinate system with axial symmetry,

$$u = A e^{-i(p(z) + \frac{k\rho^2}{2q(z)})},$$

where $p(z)$ is the amplitude function which is related to z , and $\frac{k\rho^2}{2q(z)}$ is related to the phase front.

$$\frac{1}{\rho} \frac{\partial}{\partial \rho} \left(\rho \frac{\partial}{\partial \rho} \right) u = \left(-\frac{2ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} \right) u, \quad -2ik \frac{\partial u}{\partial z} = \left(-2k \frac{\partial P}{\partial z} + \frac{k^2 \rho^2}{q^2(z)} \frac{\partial q}{\partial z} \right) u$$

$$\rightarrow \left(-\frac{2ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} - 2k \frac{\partial P}{\partial z} + \frac{k^2 \rho^2}{q^2(z)} \frac{\partial q}{\partial z} \right) u = 0$$

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$$\begin{cases} \rho^2 : -\frac{k^2}{q^2(z)} + \frac{k^2}{q^2(z)} \frac{\partial q}{\partial z} = 0 \\ 1 : -\frac{2ik}{q(z)} - 2k \frac{\partial P}{\partial z} = 0 \end{cases} \rightarrow \begin{cases} -1 + \frac{\partial q}{\partial z} = 0 \\ \frac{i}{q(z)} + \frac{\partial P}{\partial z} = 0 \end{cases} \rightarrow \begin{cases} q = z + q_0 \\ p = -i \ln(z + q_0) \end{cases}$$

$$\rightarrow u = A e^{-\ln(z+q_0) - i \frac{k\rho^2}{2(z+q_0)}}$$

Let $q_0 = -z + is$, where z_0 and s are real.

$$\rightarrow u = A e^{-\ln(z-z+is) - i \frac{k\rho^2}{2(z-z+is)}} = A \frac{1}{(z-z) + is} e^{-i \frac{k\rho^2}{2} \frac{(z-z_0) - is}{(z-z_0)^2 + s^2}}$$

$$= A \frac{1}{(z-z) + is} e^{-\frac{k\rho^2}{2} \frac{s}{(z-z_0)^2 + s^2}} e^{-i \frac{k\rho^2}{2} \frac{(z-z_0)}{(z-z_0)^2 + s^2}}$$

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$$\frac{1}{(z - z_0) + is} = \frac{(z - z_0) - is}{(z - z_0)^2 + s^2} = \frac{(z - z_0) - is}{s \left(\frac{(z - z_0)^2}{s^2} + 1 \right)} = \frac{\sqrt{\frac{2s}{k}} \left(\frac{z - z_0}{s} - i \right)}{\sqrt{\frac{2s}{k}} \left(\frac{(z - z_0)^2}{s^2} + 1 \right)} = \frac{\sqrt{\frac{2s}{k}} i \left(-1 - \frac{z - z_0}{s} i \right)}{\sqrt{\frac{2s}{k}} \left(\frac{(z - z_0)^2}{s^2} + 1 \right)}$$

$$= \frac{1}{\sqrt{\frac{2s}{k} \left(\frac{(z - z_0)^2}{s^2} + 1 \right)}} \sqrt{\frac{2s}{k}} i e^{i\phi} = \frac{w_0}{w(z)} i e^{i\phi}, \text{ where } \begin{cases} \phi = \tan^{-1} \frac{z - z_0}{s} \\ \frac{1}{w^2(z)} = \frac{ks}{2((z - z_0)^2 + s^2)}, w_0 = \sqrt{\frac{2s}{k}} \end{cases}$$

$$\rightarrow u = A \frac{w_0}{w(z)} i e^{i\phi} e^{-\frac{k\rho^2}{2} \frac{s}{(z - z_0)^2 + s^2}} e^{-i \frac{k\rho^2}{2} \frac{(z - z_0)}{(z - z_0)^2 + s^2}}$$

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$$\left\{ \begin{array}{l} \frac{1}{w^2(z)} = \frac{ks}{2((z-z_0)^2 + s^2)} \\ \frac{1}{R(z)} = \frac{z-z_0}{(z-z_0)^2 + s^2} \\ \tan \phi = \frac{z-z_0}{s} \end{array} \right. \begin{array}{l} \text{Beam radius} \\ \text{Curvature radius of the phase front} \\ \text{Gouy phase} \end{array}$$

$$\rightarrow u = A \frac{w_0}{w(z)} e^{\frac{-\rho^2}{w^2(z)}} e^{-i(\frac{k\rho^2}{2R(z)} - \phi)}$$

$$\rightarrow \psi = A \frac{w_0}{w(z)} e^{\frac{-\rho^2}{w^2(z)}} e^{-i(kz + \frac{k\rho^2}{2R(z)} - \phi)}$$

Conditions

$$\frac{\partial^2 u}{\partial x^2} + \frac{\partial^2 u}{\partial y^2} + (-2ik) \frac{\partial u}{\partial z} = 0$$

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In cylindrical coordinate system with axial symmetry,

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where $p(z)$ is the amplitude function which is related to z , and $\frac{k\rho^2}{2q(z)}$ is related to the phase front.

In Cartesian coordinate system, simplify $\rho^2 = x^2 + y^2$ as x^2 , so

$$u = Ae^{-i(p(z) + \frac{kx^2}{2q(z)})}.$$

2D Calculation Process

$$u = Ae^{-i(p(z) + \frac{kx^2}{2q(z)})}$$

$$\frac{\partial^2}{\partial x^2} u = \left(-\frac{ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} \right) u, \quad -2ik \frac{\partial u}{\partial z} = \left(-2k \frac{\partial P}{\partial z} + \frac{k^2 x^2}{q^2(z)} \frac{\partial q}{\partial z} \right) u$$

$$\rightarrow \left(-\frac{ik}{q(z)} - \frac{k^2 x^2}{q^2(z)} - 2k \frac{\partial P}{\partial z} + \frac{k^2 x^2}{q^2(z)} \frac{\partial q}{\partial z} \right) u = 0$$

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$$\rightarrow u = A e^{-\frac{1}{2} \ln(z+q_0) - i \frac{kx^2}{2(z+q_0)}}$$

Let $q_0 = -z_0 + is$, where z_0 and s are real.

$$\rightarrow u = A e^{-\frac{1}{2} \ln(z-z_0+is) - i \frac{kx^2}{2(z-z_0+is)}} = A \sqrt{\frac{1}{(z-z_0) + is}} e^{-i \frac{kx^2}{2} \frac{(z-z_0) - is}{(z-z_0)^2 + s^2}}$$

$$= A \sqrt{\frac{1}{(z-z_0) + is}} e^{-\frac{kx^2}{2} \frac{s}{(z-z_0)^2 + s^2}} e^{-i \frac{kx^2}{2} \frac{(z-z_0)}{(z-z_0)^2 + s^2}}$$

2D Calculation Process

$$u = A \sqrt{\frac{1}{(z - z_0) + is}} e^{-\frac{k\rho^2}{2} \frac{s}{(z - z_0)^2 + s^2}} e^{-i \frac{k\rho^2}{2} \frac{(z - z_0)}{(z - z_0)^2 + s^2}}$$

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$$\rightarrow u = A \sqrt{\frac{w_0}{w(z)}} e^{\frac{i}{2}\phi} e^{-\frac{kx^2}{2} \frac{s}{(z - z_0)^2 + s^2}} e^{-i \frac{kx^2}{2} \frac{(z - z_0)}{(z - z_0)^2 + s^2}}$$

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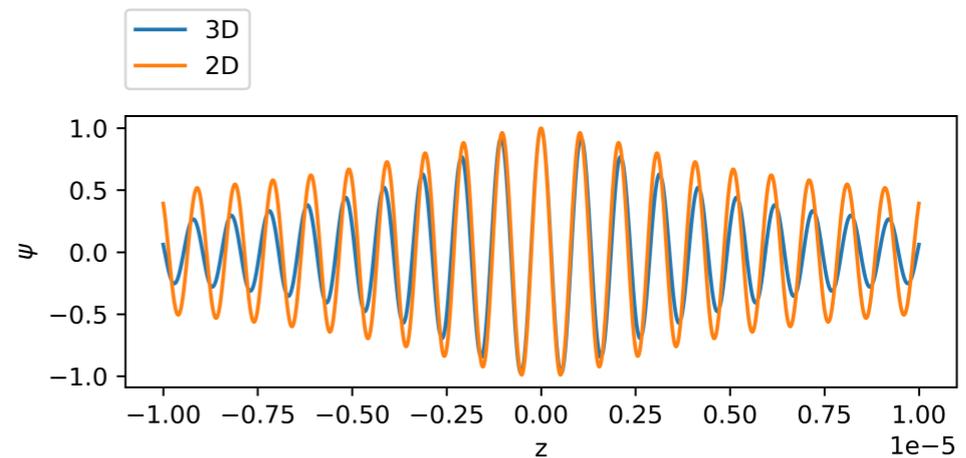
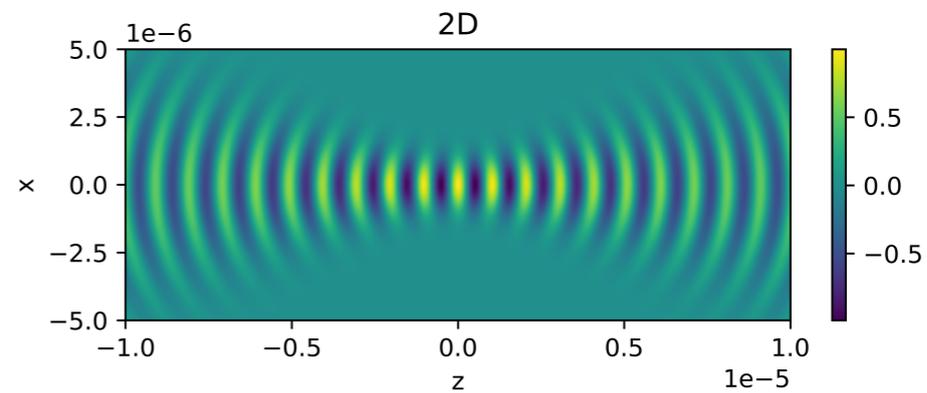
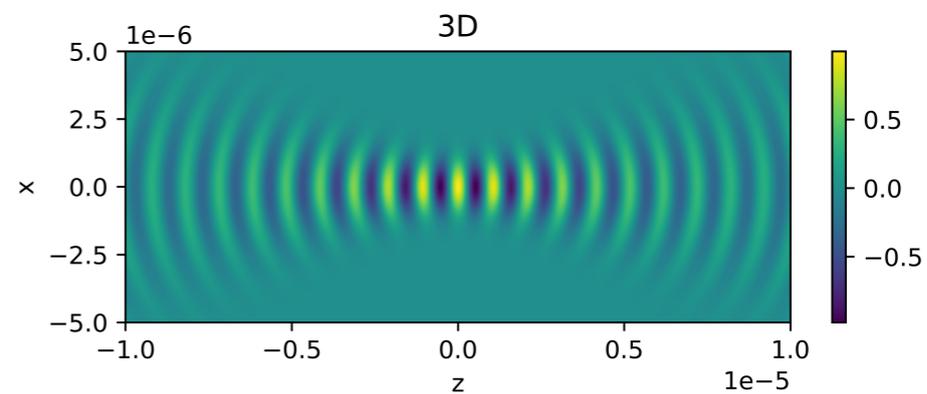
$$\rightarrow u = A \sqrt{\frac{w_0}{w(z)}} e^{\frac{-x^2}{w^2(z)}} e^{-i(\frac{kx^2}{2R(z)} - \frac{1}{2}\phi)}$$

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2D v.s. 3D

$$\psi_{2D} = A \sqrt{\frac{w_0}{w(z)}} e^{\frac{-x^2}{w^2(z)}} e^{-i(kz + \frac{kx^2}{2R(z)} - \frac{1}{2}\phi)}$$

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2D v.s. 3D

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$$\nabla_T^2 u - 2ik \frac{\partial u}{\partial z} = \frac{\partial^2}{\partial x^2} u + \frac{\partial^2}{\partial y^2} u - 2ik \frac{\partial u}{\partial z} = 0$$

$$\frac{\partial^2}{\partial x^2} u = \left(-\frac{ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} \right) u$$

$$\frac{\partial^2}{\partial x^2} u + \frac{\partial^2}{\partial y^2} u = \left(-\frac{2ik}{q(z)} - \frac{k^2 \rho^2}{q^2(z)} \right) u$$

